

PROJECT FUNDING AGREEMENT
between
ALAMEDA COUNTY TRANSPORTATION COMMISSION
and the
CITY OF SAN LEANDRO
for the
SAN LEANDRO STREET REHABILITATION PROJECT

This Project Funding Agreement (“AGREEMENT”), effective on July 28, 2016, is by and between ALAMEDA COUNTY TRANSPORTATION COMMISSION, a joint powers authority (“ALAMEDA CTC”), and the CITY OF SAN LEANDRO a public agency (“PROJECT SPONSOR”).

RECITALS

A. The voters of Alameda County, pursuant to the provisions of the Bay Area County Traffic and Transportation Funding Act, Public Utilities Code Section 131000, et seq., approved Measure B at the General Election held in November 1986, authorizing the collection of a one-half cent transaction and use tax over a fifteen (15)-year period to address major transportation needs and congestion in Alameda County and giving Alameda County Transportation Authority (“ACTA”) the responsibility for the administration of the proceeds of the tax along with other funds. The proceeds from the 1986 Measure B tax will be used to pay for investments as outlined in the 1986 Alameda County Transportation Expenditure Plan (“1986 TEP”), as it may be amended. Although collection of the 1986 Measure B tax ceased in 2002, a number of capital projects funded wholly or in part by 1986 Measure B funds will not be completed until 2018 or later.

B. The voters of Alameda County, pursuant to the provisions of the Local Transportation Authority and Improvement Act, Public Utilities Code Section 180000, et seq., approved the reauthorization of Measure B at the General Election held on November 7, 2000, authorizing the collection of a one-half cent transaction and use tax that will be collected for twenty (20) years beginning April 1, 2002 and giving Alameda County Transportation Improvement Authority (“ACTIA”) responsibility for the administration of the proceeds of the tax along with other funds. The proceeds from the 2000 Measure B tax will be used to pay for investments as outlined in the 2000 Alameda County Transportation Expenditure Plan (“2000 TEP”), as it may be amended.

C. The voters of Alameda County, pursuant to Section 65089.20 of the Government Code, approved Measure F, the Vehicle Registration Fee (“VRF”), authorizing Alameda County Congestion Management Agency (“ACCMA”) to administer the proceeds from a \$10 per year vehicle registration fee on each annual motor-vehicle registration or renewal of registration in Alameda County, starting in May 2011, six months following approval of Measure F. Vehicles subject to the VRF include all motorized vehicles, including passenger cars, light-duty trucks, medium-duty trucks, heavy-duty trucks, buses of all sizes, motorcycles, and motorized camper homes, unless vehicles are expressly exempted from the payment of the VRF.

D. By resolutions adopted by the ACTA and ACTIA Boards on June 24, 2010, all of ACTA’s functions and responsibilities were assigned to, and accepted by, ACTIA. On that same date, the ACTIA and ACCMA Boards took the final actions to create ALAMEDA CTC, a joint powers authority with all responsibilities of ACTIA and ACCMA. Pursuant to resolutions adopted by the ACTIA and ACCMA

Boards and the Commission of ALAMEDA CTC, ACCMA and ACTIA were terminated as of the close of business on February 29, 2012, with ALAMEDA CTC designated as the successor entity. All of its predecessors' functions and responsibilities have been assigned to, and accepted by, ALAMEDA CTC.

E. The voters of Alameda County, pursuant to the provisions of the Local Transportation Authority and Improvement Act, California Public Utilities Code Section 180000 et seq., approved Measure BB at the General Election held on November 4, 2014, authorizing the extension of an existing one-half of one percent transaction and use tax scheduled to terminate on March 31, 2022, and the augmentation of the tax by one-half of one percent, and giving ALAMEDA CTC responsibility for the administration of the proceeds of the 2014 Measure BB tax. The duration of the 2014 Measure BB tax will be 30 years from the initial year of collection, which began April 1, 2015, with said tax to terminate/expire on March 31, 2045. The proceeds from the 2014 Measure BB will be used to pay for investments as outlined in the 2014 Alameda County Transportation Expenditure Plan ("2014 TEP"), as it may be amended.

F. As the Transportation Fund for Clean Air ("TFCA") Program Manager in Alameda County, ALAMEDA CTC annually programs 40 percent of the TFCA funds collected in Alameda County. ALAMEDA CTC will receive these funds from the Bay Area Air Quality Management District ("BAAQMD"), and will then reimburse these funds to eligible project sponsors.

G. ALAMEDA CTC is continuing the implementation of the CMA Exchange Program, originally established by the ACCMA, for the purpose of providing local or otherwise unrestricted funds to ALAMEDA CTC for use in projects and programs adopted into the CMA Transportation Improvement Program ("CMA TIP") by ALAMEDA CTC.

H. The funding programs administered by ALAMEDA CTC and available for projects and programs that benefit the Alameda County transportation system, consisting of 1986 Measure B, 2000 Measure B, 2014 Measure BB, VRF, TFCA, and CMA TIP, are collectively defined as and shall be referenced as the "ALAMEDA CTC ADMINISTERED FUNDS" herein.

Direct Local Distribution ("DLD") funding distributed pursuant to 2000 Measure B, VRF and 2014 Measure BB is covered by separate agreements between ALAMEDA CTC and DLD recipients.

I. Measure B, Measure BB, VRF, and TFCA funds obligated by this Agreement shall be programmed, allocated, and expended for the purpose defined and in accordance with the provisions set forth in the applicable transportation expenditure plans, or the BAAQMD-approved TFCA County Program Manager Policies.

J. ALAMEDA CTC adopted the first ALAMEDA CTC Comprehensive Investment Plan ("CIP") in June 2015 and will update the CIP on an annual basis to summarize the programming and allocations of ALAMEDA CTC ADMINISTERED FUNDS, and the policies, procedures and requirements related to the expenditure of ALAMEDA CTC ADMINISTERED FUNDS.

K. The PROJECT SPONSOR is implementing a project or program ("PROJECT") that is eligible for ALAMEDA CTC ADMINISTERED FUNDS. The PROJECT and the strategy to implement the PROJECT are described in greater detail in Appendix A: Project Control Information.

L. This AGREEMENT obligates funds from one or more of ALAMEDA CTC administered funding programs as specified in Appendix B: Alameda CTC Administered Funds Obligated by this Agreement, for the PROJECT and the phase(s) described in Appendix A: Project Control Information. ALAMEDA CTC ADMINISTERED FUNDS obligated by this AGREEMENT are available to reimburse eligible costs incurred by PROJECT SPONSOR for the PROJECT and the phase(s) described in Appendix A: Project Control Information.

M. The issuance of bonds or another financing mechanism are possible funding options as part of ALAMEDA CTC administered funding programs to meet cash flow requirements of each individual program based on current schedule and project delivery information.

N. Execution of this AGREEMENT was approved by the governing body of ALAMEDA CTC on July 28, 2016.

NOW, THEREFORE, it is agreed by and between the parties as follows:

SECTION I

PROJECT SPONSOR AGREES:

1. PROJECT SPONSOR shall implement the PROJECT in accordance with all requirements in this AGREEMENT and the following appendices attached hereto and incorporated herein by reference.

- a. Appendix A: Project Control Information
- b. Appendix B: Alameda CTC Administered Funds Obligated by this Agreement
- c. Appendix C: Alameda CTC Request for Reimbursement and Reporting Requirements
- d. Appendix D: Alameda CTC Funding Shift Approval Form
- e. Appendix E: Local Business Contract Equity Program
- f. Appendix F: Task Deliverables and Due Dates
- g. Appendix G: Project Performance Measures
- h. Appendix H: Transportation Fund for Clean Air Policies and Requirements

2. PROJECT SPONSOR shall perform the necessary work associated with the PROJECT required to implement PROJECT as described in Appendix A: Project Control Information.

3. PROJECT SPONSOR shall inform ALAMEDA CTC in writing of any changes to the information contained in Appendix A: Project Control Information as soon as PROJECT SPONSOR becomes aware of such changes. Per Section III.2, any changes that require an amendment to this AGREEMENT must be approved by ALAMEDA CTC prior to the PROJECT SPONSOR implementing the change.

4. PROJECT SPONSOR shall commit to the timing of ALAMEDA CTC ADMINISTERED FUNDS as described in Appendix B: Alameda CTC Administered Funds Obligated by this Agreement.

5. PROJECT SPONSOR shall expend ALAMEDA CTC ADMINISTERED FUNDS received under this AGREEMENT in accordance with all applicable provisions of law and shall return to ALAMEDA CTC all funds that are not expended in accordance with all applicable provisions of law.

6. PROJECT SPONSOR shall be responsible for costs in excess of the total of the funding obligations shown in Appendix A: Project Control Information. Costs in excess of the total of the funding obligations will not be reimbursed with ALAMEDA CTC ADMINISTERED FUNDS unless this AGREEMENT is amended or the parties execute separate agreement for a subsequent phase of the PROJECT.

7. PROJECT SPONSOR shall return to ALAMEDA CTC, on a pro-rated basis, funds realized from the sale of any vehicle(s) purchased with ALAMEDA CTC ADMINISTERED FUNDS, if the sale of the vehicle(s) is completed prior to the last day of the last year listed as the PROJECT'S "Years of Effectiveness" as shown in either Appendix A: Project Control Information or Appendix H: Transportation Fund for Clean Air Policies and Requirements. The amount of funds returned to ALAMEDA CTC shall be proportional to the percentage of ALAMEDA CTC ADMINISTERED FUNDS originally used to purchase the vehicle(s).

8. PROJECT SPONSOR staff costs for any local agency, which receives DLD funding, shall not be eligible for reimbursement from ALAMEDA CTC ADMINISTERED FUNDS allocated to the PROJECT. These costs can be included in the total cost and funding amounts for the purposes of the cost and risk sharing provisions of this AGREEMENT, but PROJECT SPONSOR staff costs shall not be eligible for reimbursement by Alameda CTC Administered Funds Obligated by this Agreement, unless specifically identified as eligible in Appendix A: Project Control Information.

9. Fringe benefits for PROJECT SPONSOR staff time shall not exceed a maximum mark-up rate of 70% of the hourly wage, and shall be based on an audited rate provided by PROJECT SPONSOR. PROJECT SPONSOR staff costs may include the individual's actual hourly wage rate plus an approved fringe benefit of no more than 70% of the hourly wage, and may include direct costs including contracts for services, such as legal counsel, that are considered an extension of the PROJECT SPONSOR'S staff.

10. Overhead, or "indirect," costs associated with PROJECT SPONSOR staff time are not eligible for the Alameda CTC Administered Funds Obligated by this Agreement, and shall not be included in the total eligible PROJECT cost calculations used to determine the ALAMEDA CTC ADMINISTERED FUNDS share of such total eligible PROJECT costs.

11. The cost of maintenance, security, or protection performed by PROJECT SPONSOR or third-party contractors during any temporary suspension of activities associated with the PROJECT will not be considered an eligible cost for reimbursement by ALAMEDA CTC.

12. PROJECT SPONSOR shall advertise, award and administer any professional services contract for PROJECT work not performed by PROJECT SPONSOR.

13. PROJECT SPONSOR shall invite ALAMEDA CTC staff to participate as a voting member of PROJECT SPONSOR'S selection panels for the selection of professional consultants, and furnish copies of the professional services contracts to ALAMEDA CTC for ALAMEDA CTC'S review and approval prior to approval by the PROJECT SPONSOR on contracts utilizing Measure B and Measure BB funds.

14. All contracts approved by PROJECT SPONSOR funded wholly or in part by funds authorized by Measure B, Measure BB, and Vehicle Registration Fee administered by ALAMEDA CTC are required to comply with ALAMEDA CTC'S Local Business Contract Equity Program as referenced in Appendix E: Local

Business Contract Equity Program. To the extent that the procurement of a contract is not required to include the contract goals specified in ALAMEDA CTC's Local Business Contract Equity Program, PROJECT SPONSOR shall not be relieved from the responsibility of reporting LBE/SLBE/VLSBE participation in the contract. PROJECT SPONSOR shall provide this data as required with any request for reimbursement submitted to ALAMEDA CTC.

15. PROJECT SPONSOR shall require that professional services consultants, construction services contractors, volunteer service individuals involved with the PROJECT, and any other contractors or subcontractors name ALAMEDA CTC, its governing body, officers, employees and consultants as additional insured on all appropriate insurance required by PROJECT SPONSOR for PROJECT. PROJECT SPONSOR shall submit to ALAMEDA CTC current insurance documentation on all appropriate insurance required by PROJECT SPONSOR for PROJECT. If this AGREEMENT includes any TFCA funds, PROJECT SPONSOR shall comply with the insurance requirements specified in Appendix H: Transportation Fund for Clean Air Policies and Requirements.

16. PROJECT SPONSOR shall provide ALAMEDA CTC with periodic (i.e., monthly, quarterly or semi-annually) progress reports. The required progress reporting frequency schedule and forms are provided in Appendix C: Alameda CTC Request for Reimbursement and Reporting Requirements. Progress reports are due by the last day of each calendar month following the established reporting period in which the work was performed. These reports shall describe the current status of the PROJECT, actions taken, total costs incurred, reimbursable costs incurred during the previous month; actions expected to be taken during the next month; an updated schedule with estimated completion date, scope changes, project-related issues, notices of potential claims, general project issues, contract change orders, performance reporting, reporting of DBE, LBE, SLBE and VLSBE participation from prime and first tier subconsultants as applicable, and any additional relevant information requested by ALAMEDA CTC.

17. PROJECT SPONSOR shall submit to ALAMEDA CTC a Request for Reimbursement in accordance with the minimum required invoicing frequency established for the PROJECT, but not more than one such request per month, for reimbursement of eligible PROJECT costs and expenses incurred by PROJECT SPONSOR. The required minimum invoicing frequency and invoice forms are provided in Appendix C: Alameda CTC Request for Reimbursement and Reporting Requirements. If PROJECT SPONSOR does not incur any reimbursable expenses during the minimum invoicing period, in lieu of submitting an invoice, PROJECT SPONSOR shall submit a letter or a statement as part of the required progress report for the same period confirming that no reimbursable costs were incurred during the given period. If PROJECT SPONSOR is requesting reimbursement for costs incurred as a result of a contract or agreement with a third party that contains a contract amount of \$50,000 or greater in value, e.g. a consultant, contractor or other entity, PROJECT SPONSOR shall submit an electronic copy of the fully executed contract or agreement to ALAMEDA CTC prior to ALAMEDA CTC authorizing reimbursement of such costs. Each Request for Reimbursement shall also include detailed supporting documentation for the total PROJECT costs with the reimbursable portion of the total costs clearly identified. Supporting documentation for Request for Reimbursement packages includes copies of invoices from vendors, consultants, or contractors and summaries of eligible PROJECT SPONSOR staff time charges. PROJECT SPONSOR may suggest an alternative method, subject to approval in advance by ALAMEDA CTC, to document staff costs charged to the PROJECT.

18. PROJECT SPONSOR shall provide a Parcel and Utility Agreement Tracking Log in a format approved by ALAMEDA CTC, if the PROJECT includes the acquisition of right of way and/or the relocation or protection of utility facilities. PROJECT SPONSOR shall also provide a monthly update of the right-of-way acquisition plan to ALAMEDA CTC.

19. PROJECT SPONSOR shall keep in one central location all necessary PROJECT records to demonstrate compliance with this AGREEMENT, including documentation of activities, performance, expenses and charges to support invoices submitted to ALAMEDA CTC and other PROJECT reporting requirements as described in Appendix C: Alameda CTC Request for Reimbursement and Reporting Requirements. All necessary project records are to be kept in one central location for a period of not less than three (3) years (such period shall be five (5) years if this AGREEMENT includes any TFCA funds) after ALAMEDA CTC's payment of the final invoice and the PROJECT SPONSOR'S submittal of the Final Report.

20. For TFCA funds obligated by this AGREEMENT, PROJECT SPONSOR shall allow ALAMEDA CTC or its authorized representatives, and shall allow BAAQMD, its authorized representatives and its independent auditors to inspect, audit, or make copies of any PROJECT records for a period of five (5) years after ALAMEDA CTC's payment of the final invoice and the PROJECT SPONSOR'S submittal of the Final Report. If no TFCA funds are obligated by this AGREEMENT PROJECT SPONSOR will allow ALAMEDA CTC or its authorized representatives to inspect, audit, or make copies of any PROJECT records for a period of one (1) year after ALAMEDA CTC's payment of the final invoice and the PROJECT SPONSOR'S submittal of the Final Report.

21. For the purpose of determining compliance with matters connected with the performance and costs of PROJECT SPONSOR'S PROJECT-related contracts with third parties pursuant to the appropriate laws and regulations, PROJECT SPONSOR, and PROJECT SPONSOR'S contractors and subcontractors shall each maintain and make available for inspection and audit all books, documents, papers, accounting records, and other evidence pertaining to the performance of such contracts, including, but not limited to, the costs of administering those various contracts. All of the above-referenced parties shall make such materials available at their respective offices at all reasonable times during the PROJECT period and for three (3) years from the date of final payment to PROJECT SPONSOR. ALAMEDA CTC or ALAMEDA CTC'S auditor, or any duly authorized representative of ALAMEDA CTC, shall have access to any books, records, and documents that are pertinent to a PROJECT for audits and examinations, and PROJECT SPONSOR shall furnish copies thereof if requested. For TFCA funds obligated by this AGREEMENT, the same access shall also be granted to BAAQMD staff, authorized representatives and its independent auditors for five (5) years from the date of final payment to PROJECT SPONSOR.

22. PROJECT SPONSOR shall credit ALAMEDA CTC as a funding source, and display the approved ALAMEDA CTC logo, where practical. For TFCA funding obligated through this AGREEMENT, SPONSOR shall also credit the BAAQMD as a funding source and display the BAAQMD-approved TFCA logo. The funding agency credit and logo are to be used on the following materials: (1) on signs posted at the site of any construction associated with the PROJECT, (2) on any vehicles or equipment operated or obtained as part of the PROJECT, and (3) on any publically distributed information created for or associated with the PROJECT such as promotional or publicity materials in print, electronic, or other media formats, and including PROJECT related fact sheets, flyers, schedules, brochures, handbooks, maps, website information, electronic signs, e-mail broadcasts and other materials.

23. PROJECT SPONSOR shall provide updated and accurate PROJECT information on the PROJECT SPONSOR's website, or create such a website if none exists, and provide a link to ALAMEDA CTC website, in order to inform the public on how ALAMEDA CTC ADMINISTERED FUNDS are being used on this PROJECT. Documentation is to be provided upon request and regularly through the progress and final reporting required by this AGREEMENT, through evidence such as copies of print, electronic and other media published to satisfy this requirement. PROJECT SPONSOR shall notify ALAMEDA CTC and request an exemption from this requirement if the PROJECT SPONSOR is unable to fulfill this requirement due to extraordinary circumstances.

24. PROJECT SPONSOR shall at least once per fiscal year, publish an article in a printed or electronically distributed publication such as a newsletter, local newspaper, e-publications, or website highlighting the PROJECT and the fact that funds received by PROJECT SPONSOR from ALAMEDA CTC are being used. For TFCA funds obligated by this AGREEMENT, the PROJECT SPONSOR is also required to credit the BAAQMD as a funding agency. Documentation is to be provided upon request and regularly through the progress and final reporting required by this AGREEMENT, through evidence such as copies of print, electronic and other media published to satisfy this requirement. PROJECT SPONSOR shall notify ALAMEDA CTC and request an exemption from this requirement if the PROJECT SPONSOR is unable to fulfill this requirement due to extraordinary circumstances.

25. PROJECT SPONSOR shall obtain and maintain documentation that ALAMEDA CTC is credited as a funding source, ALAMEDA CTC logo is used and displayed as required, website information is maintained, and annual articles are published related to the PROJECT. For TFCA funding obligated through this AGREEMENT, SPONSOR shall also obtain and maintain documentation that the BAAQMD is credited as a funding agency, and use and display the BAAQMD approved TFCA logo as required. Documentation is to be provided upon request and regularly through the progress and final reporting required by this AGREEMENT, through evidence such as 1) photographs of posted construction or vehicle signage and/or 2) copies of print, electronic and other media. As documentation of compliance, if TFCA funding is obligated through this AGREEMENT, such evidence shall be maintained in the project files for a minimum of five (5) years following completion of the PROJECT. Otherwise, evidence shall be maintained in the project files for a minimum period of three (3) years following completion of the PROJECT.

26. PROJECT SPONSOR shall place in the public domain any software, written documents, intellectual property, process, technique or other product developed with ALAMEDA CTC ADMINISTERED FUNDS received through this AGREEMENT.

27. PROJECT SPONSOR shall participate, upon the request of ALAMEDA CTC, in a Public Awareness Program in partnership with ALAMEDA CTC and/or its community advisory committees as a means of informing the public of the benefits being derived from the use of ALAMEDA CTC ADMINISTERED FUNDS.

28. PROJECT SPONSOR shall obtain all state, local and federal permits and approvals for work, including environmental approvals in accordance with the National Environment Policy Act (NEPA) and the California Environmental Quality Act (CEQA), as applicable. PROJECT SPONSOR will comply with all applicable state and federal laws and regulations. A list of permitting agencies, required agreements and coordinating agencies is included in Appendix A: Project Control Information.

29. PROJECT SPONSOR shall render a report or answer any and all inquiries, upon ALAMEDA CTC's request, in regard to its receipt, compliance audit findings, and usage of its funds before the ALAMEDA CTC Commission, Independent Watchdog Committee, and/or community advisory committees, as applicable.

30. For TFCA funds obligated by this AGREEMENT, a PROJECT SPONSOR who has failed a BAAQMD financial or performance audit for the PROJECT will be excluded from receiving an award of any TFCA funds for five (5) years from the date of BAAQMD's final audit determination. Existing TFCA funds already awarded to the SPONSOR will not be released until all audit recommendations and remedies have been satisfactorily implemented. A failed BAAQMD financial audit means a final audit report that includes an uncorrected audit finding that confirms an ineligible expenditure of TFCA funds. A failed BAAQMD performance audit means that the PROJECT was not implemented in accordance with the AGREEMENT. SPONSOR shall be afforded a reasonable amount of time to address/cure adverse audit findings.

31. For TFCA funds obligated by this AGREEMENT, PROJECT SPONSOR shall return to ALAMEDA CTC, on a pro-rated basis, TFCA funds received under this Agreement if PROJECT is not maintained and/or operated throughout and at least until the conclusion of its "Years of Effectiveness" as shown in Appendix H: Transportation Fund for Clean Air Policies and Requirements.

SECTION II

ALAMEDA CTC AGREES:

1. ALAMEDA CTC shall make a good faith effort to provide all Alameda CTC Administered Funds Obligated by this Agreement.
2. ALAMEDA CTC shall assist PROJECT SPONSOR when able, upon request and as necessary, in resolving issues related to the PROJECT.
3. ALAMEDA CTC shall provide a copy of its approved logo for PROJECT SPONSOR to use as required by this AGREEMENT.
4. ALAMEDA CTC shall withhold an amount equal to four percent (4%) of the funds requested for each phase in an approved Request for Reimbursement of ALAMEDA CTC ADMINISTERED FUNDS obligated by this AGREEMENT as retention for such phase. ALAMEDA CTC shall release the retained amount per phase upon the PROJECT SPONSOR'S request to release the retention after completing the phase, and upon ALAMEDA CTC's acceptance of all deliverables, including progress and final reporting for such PROJECT phase required by this AGREEMENT.
5. ALAMEDA CTC shall provide notice to the PROJECT SPONSOR of any and all expenditures made by PROJECT SPONSOR, which are not in compliance with the AGREEMENT or the applicable ALAMEDA CTC ADMINISTERED FUNDS promptly after ALAMEDA CTC becomes aware of any such expenditures.

6. ALAMEDA CTC shall provide timely notice to PROJECT SPONSOR prior to conducting an audit of expenditures made by PROJECT SPONSOR to determine whether such expenditures are in compliance with this agreement or the applicable ALAMEDA CTC ADMINISTERED FUNDS.

SECTION III

IT IS MUTUALLY AGREED AS FOLLOWS:

1. That obligations of ALAMEDA CTC ADMINISTERED FUNDS obligated by this AGREEMENT may be shifted between the phases of the PROJECT covered by this AGREEMENT, or between activities in the same phase of the PROJECT, provided that PROJECT SPONSOR: (A) obtains ALAMEDA CTC's prior written approval through completion and submittal to ALAMEDA CTC of the form in Appendix D: Alameda CTC Funding Shift Approval Form, and (B) provides a revised and updated Appendix A: Project Control Information to ALAMEDA CTC which reflects the requested shift of the funding obligations authorized by this AGREEMENT and demonstrates that the phase or activity from which the funds were shifted remains fully funded. Alternatively, ALAMEDA CTC ADMINISTERED FUNDS may be shifted through an amendment to this AGREEMENT executed by the parties, which amendment shall incorporate a revised and updated Appendix A as described above.

2. ALAMEDA CTC and the PROJECT SPONSOR may jointly authorize an "Administrative Amendment" for any minor schedule revisions, deliverables, or budget revisions that do not increase the total Alameda CTC Administered Funds Obligated by this Agreement. Any change in the PROJECT scope of work must be approved by ALAMEDA CTC prior to implementation of the change by the PROJECT SPONSOR. Administrative amendment requests sent to ALAMEDA CTC must include a revised Appendix A: Project Control Information which reflects the requested changes by the PROJECT SPONSOR.

3. As this AGREEMENT only encumbers Measure BB funds for the Construction Capital Phase of the PROJECT, ALAMEDA CTC and the PROJECT SPONSOR agree that Sections I.7, I.18, I.20, I.30, I.31, II.4, III.9, III.15, III.16, III.17, III.18, and III.19, do not apply to this PROJECT and are hereby deleted in their entirety from this AGREEMENT. Further, provisions/requirements (a) arising from the use of TFCA Funds and (b) related to Right-of-Way Capital are hereby deleted from this AGREEMENT.

4. For the purposes of this AGREEMENT, (i) a "Contract" shall mean and refer to a third party agreement with the PROJECT SPONSOR for services related to PROJECT, including agreements with another public agency, consultant firm, contractor or entity; (ii) "PROJECT SPONSOR Staff" costs include costs related to staff dedicated directly to management, oversight, or development work on the project and may include direct costs including contracts for services, such as legal counsel, that are considered an extension of PROJECT SPONSOR Staff; and (iii) "Capital" with respect to any Right-of-Way Capital phase shall mean and refer to the cost to acquire real property, access rights and other property interests.

5. Funds expended for work directly related to the phases of the PROJECT covered by this AGREEMENT prior to the allowable start date identified in Appendix B: Alameda CTC Administered Funds Obligated by this Agreement will not be considered for reimbursement pursuant to this AGREEMENT. In all cases, reimbursable costs will be limited to those costs shown in Appendix A: Project Control Information, to

be funded by ALAMEDA CTC as shown in Appendix B: Alameda CTC Administered Funds Obligated by this Agreement.

6. ALAMEDA CTC ADMINISTERED FUNDS obligated by this AGREEMENT are subject to fund availability and any new requirements and policies imposed by ALAMEDA CTC. The AGREEMENT shall be amended, as necessary, to reflect the applicable requirements.

7. If additional local, state or federal funding is obtained to partially offset ALAMEDA CTC ADMINISTERED FUNDS planned for the PROJECT, ALAMEDA CTC ADMINISTERED FUNDS will not be transferred from the PROJECT until after it is ensured that all phases of the PROJECT can be fully delivered. ALAMEDA CTC ADMINISTERED FUNDS offset by additional local, state or federal funding shall be available for PROJECT only after all other funding planned for PROJECT, as described in Appendix A: Project Control Information, has been committed and/or encumbered to the PROJECT.

8. Should any portion of PROJECT be financed with federal or state funds, all applicable laws, rules and policies relating to the use of such funds shall apply, notwithstanding other provisions of this AGREEMENT.

9. For TFCA funds obligated by this AGREEMENT, this AGREEMENT is subject to the current BAAQMD-approved TFCA County Program Manager Fund Policies included in Appendix H: Transportation Fund for Clean Air Policies and Requirements and any new requirements imposed by the BAAQMD upon Alameda CTC with respect to the TFCA funds distributed hereunder. ALAMEDA CTC will only distribute TFCA funds under this AGREEMENT to the extent the documented project costs are eligible under the applicable BAAQMD TFCA Policies.

10. The laws of the State of California shall govern this AGREEMENT.

11. All correspondence and communications will contain ALAMEDA CTC project number and name for PROJECT in a clearly identifiable location. For projects with TFCA funds obligated by this AGREEMENT, all correspondence and communications will also contain the TFCA project number as identified in Appendix H: Transportation Fund for Clean Air Policies and Requirements.

12. ALAMEDA CTC reserves the right to conduct technical and financial audits of PROJECT work and records when determined to be necessary or appropriate and PROJECT SPONSOR agrees, and shall require its contractors and subcontractors to agree, to cooperate with ALAMEDA CTC by making all appropriate and relevant PROJECT records promptly available for audit and copying.

13. PROJECT costs incurred by PROJECT SPONSOR are only eligible for reimbursement on a pro-rated basis unless specifically provided for in Appendix A: Project Control Information, and PROJECT SPONSOR is expected to concurrently seek reimbursement from the other sources shown in Project A: Project Control Information. Pro-rated basis, as used herein, equals the amount of Alameda CTC Administered Funds Obligated by this Agreement to a phase, divided by the total funding for the phase, as shown in Appendix A: Project Control Information. For any Request for Reimbursement which includes such expenditures, PROJECT SPONSOR shall (i) report the total expenditures during the reimbursement period, (ii) indicate the percentage of the total expenditures for the phase expected to be funded by Alameda CTC Administered Funds Obligated by this Agreement, and (iii) request reimbursement for the dollar

amount which equals the product of such total expenditures and such percentage. For TFCA funds obligated by this AGREEMENT, in the event reimbursement requests are greater than available funds due to delays in ALAMEDA CTC's receipt of revenue from BAAQMD, available funds will be reimbursed to PROJECT SPONSOR based on the percentage that the PROJECT cost bears to ALAMEDA CTC's overall TFCA program revenue total until such time full funding is available.

14. ALAMEDA CTC approval of PROJECT SPONSOR submitted Requests for Reimbursement will be contingent on the submittal of Progress Reports by PROJECT SPONSOR as required by this AGREEMENT. In the event that Progress Reports are not complete and current, approval of Requests for Reimbursement shall be withheld until an acceptable remedy has been implemented and approved by ALAMEDA CTC.

15. Each Right-of-Way transaction which is funded either wholly or in part with ALAMEDA CTC ADMINISTERED FUNDS shall be subject to repayment or reimbursement to ALAMEDA CTC under ALAMEDA CTC Policies in effect as of the effective date of this AGREEMENT, irrespective of the party holding title to the real estate which is the subject of the Right-of-Way transaction in question. The parties agree that Section III.16(i) through Section III.16(vi), the provisions of which are intended to provide further detail regarding the application of such Policies, shall govern the extent to which such repayment is required and the conditions applicable to any such repayment. If such Policies are amended after the effective date of this AGREEMENT by ALAMEDA CTC, the PROJECT SPONSOR and ALAMEDA CTC shall execute an amendment to this AGREEMENT which incorporates the applicable terms of such revised Policies.

16. For the purposes of the following provisions, "ALAMEDA CTC Share" shall mean the percentage share of the original property purchase price funded by ALAMEDA CTC ADMINISTERED FUNDS (i.e., if the purchase was fully funded by ALAMEDA CTC ADMINISTERED FUNDS, ALAMEDA CTC Share shall equal 100%); any appraisal required under Section III.16(i) and Section III.16(vi) only, shall be conducted at no cost to ALAMEDA CTC; "Fair Market Value" of a given property shall mean the net proceeds from the sale of such property if such sale occurs in an open market transaction or by auction, or the value of the property determined by an independent third-party appraisal of the property if some or all of the property is retained by PROJECT SPONSOR or otherwise transferred to any other party without an auction or open market transaction; and "CPI" means the Consumer Price Index, All Items for All Urban Consumers, San Francisco-Oakland, San Jose, CA.

- i. If any property is acquired for the PROJECT using ALAMEDA CTC ADMINISTERED FUNDS to finance some or all of the acquisition, and the PROJECT is cancelled or otherwise does not begin construction within three (3) years after the effective date of AGREEMENT (as such deadline may be extended by agreement between the parties hereto), then PROJECT SPONSOR shall, within one (1) year after such cancellation decision or the expiration of such three (3) year period, reimburse ALAMEDA CTC for the greater of the following: ALAMEDA CTC Share of the property's Fair Market Value or the full amount of ALAMEDA CTC ADMINISTERED FUNDS used to acquire the property, as escalated by the increase in the CPI during the most recent period for which CPI data is available which is equal in length to the period between the date ALAMEDA CTC ADMINISTERED FUNDS were paid to PROJECT SPONSOR and the date reimbursement is made to ALAMEDA CTC.

- ii. If any property is acquired for the PROJECT using ALAMEDA CTC ADMINISTERED FUNDS to finance some or all of the acquisition and only a portion of the acquired property is required for the PROJECT, and if the PROJECT begins construction within three (3) years after the effective date of this AGREEMENT (as such deadline may be extended by agreement between the parties hereto), then PROJECT SPONSOR shall, within one (1) year after PROJECT completion, reimburse ALAMEDA CTC for ALAMEDA CTC Share of the Fair Market Value of any property determined to be “excess property” after the completion of the PROJECT and disposal of the “excess property.”
- iii. If the PROJECT begins construction within three (3) years after the effective date of this AGREEMENT (as such deadline may be extended by agreement between the parties hereto), then except as provided in subparagraph (iv) below, no reimbursement is due with respect to any property interest acquired for the project using ALAMEDA CTC ADMINISTERED FUNDS if the entirety of the acquired property is required for the PROJECT.
- iv. If PROJECT SPONSOR anticipates receiving net revenues (i.e., rental or other income generated by the property, less reasonable costs for insurance, maintenance and related items) from any property acquired using ALAMEDA CTC ADMINISTERED FUNDS, PROJECT SPONSOR shall notify Alameda CTC on how PROJECT SPONSOR will use such revenue to offset other project costs, and document such revenue separately in project reimbursement requests.
- v. If PROJECT is suspended, PROJECT SPONSOR shall pay all property management costs.
- vi. Property that is required for the PROJECT and acquired wholly or in part with ALAMEDA CTC ADMINISTERED FUNDS shall be available for PROJECT construction within ten (10) years of ALAMEDA CTC reimbursement to the PROJECT SPONSOR. If, after ten (10) years, PROJECT has not been completed, PROJECT SPONSOR shall reimburse ALAMEDA CTC Share of the fair market value of the property, based on the net proceeds from the sale of the property or an appraisal of the property conducted at no cost to ALAMEDA CTC, within one (1) year after the expiration of this ten (10) year period.

17. If condemnation procedures are required to obtain possession to Right-of-Way, ALAMEDA CTC will consider the required deposit as an eligible cost and reimburse the PROJECT SPONSOR upon request. If the amount of ALAMEDA CTC reimbursement to the PROJECT SPONSOR is higher than ALAMEDA CTC Share of the amount of settlement in the final order of condemnation, the PROJECT SPONSOR shall pay ALAMEDA CTC the difference between the amount reimbursed and ALAMEDA CTC Share of the amount settled plus ALAMEDA CTC Share of the interest accrued to the deposit account.

18. PROJECT SPONSOR shall not initiate condemnation of any property until full funding for the construction of the PROJECT has been secured.

19. Reimbursement to PROJECT SPONSOR by ALAMEDA CTC for PROJECT Right-of-Way is limited to the following:

- i. The cost to purchase all real property required for the PROJECT free and clear of liens and/or conflicting easements, including the costs for preparation of documents, negotiations and legal services.
- ii. The cost of damages to owners of remainder real property not actually taken but injuriously affected by PROJECT.
- iii. The cost of relocation payments and services provided to owners and occupants pursuant to the appropriate State laws and regulations (and net of any relocation payments provided by other sources) when the PROJECT displaces an individual, family, business, farm operation or nonprofit organization.
- iv. The cost of demolition and/or the sale of all improvements on the right-of-way. Proceeds from the sale of any such improvements shall be credited to the PROJECT and used to offset PROJECT costs.
- v. The cost of all unavoidable utility relocation, protection or removal necessary for the completion of the PROJECT.
- vi. The cost of all necessary hazardous material and hazardous waste treatment, encapsulation or removal and protective storage for which PROJECT SPONSOR accepts responsibility and where the actual generator cannot be identified and recovery made.

20. For each phase of the PROJECT covered by this AGREEMENT, PROJECT SPONSOR shall, upon completion of the phase, submit a final report that collectively constitutes a “Final Report,” using the forms provided in Appendix C: Alameda CTC Request for Reimbursement and Reporting Requirements. If PROJECT SPONSOR fails to submit a Final Report within two hundred ten (210) days of phase completion, ALAMEDA CTC shall, except as may be determined by Alameda CTC’s Executive Director in his or her sole discretion, sanction PROJECT SPONSOR by removing the withheld amount from each approved Request for Reimbursement from the PROJECT budget, and making the removed amount unavailable for future PROJECT costs. Not less than thirty (30) days prior to the effective date of any such sanction for the phase, ALAMEDA CTC will endeavor to notify PROJECT SPONSOR in writing that the Final Report is overdue for the phase. Such written notice shall advise the PROJECT SPONSOR as to the effective date of sanction, and shall specify the amount of potential funding loss.

21. If PROJECT SPONSOR materially breaches this AGREEMENT, including but not limited to failing to deliver the PROJECT within the schedule defined by this AGREEMENT without compelling reason, failing to file required Progress Reports in the time specified by this AGREEMENT, or failing to comply with applicable regulations, ALAMEDA CTC may either terminate this AGREEMENT or suspend payments to PROJECT SPONSOR until such time as PROJECT SPONSOR makes reasonable efforts to comply with this AGREEMENT.

22. Neither ALAMEDA CTC, nor its governing body or any officer, consultant, or employee thereof shall be responsible for any damage or liability occurring by reason of anything done or omitted to be done by PROJECT SPONSOR in connection with the PROJECT. It is also understood and agreed, pursuant to Government Code Section 895.4, PROJECT SPONSOR shall fully defend, protect, indemnify and hold harmless ALAMEDA CTC, its governing body, and all its officers, employees, agents, representatives, and successors-in-interest, against any and all liability, loss, expense, including reasonable attorneys’ fees, or claims for injury (as defined in Government Code Section 810.8) or damages occurring by reason of anything done or omitted to be done by PROJECT SPONSOR in connection with PROJECT, including the performance of the PROJECT or

operation or use of the equipment that is subject to this AGREEMENT. If TFCA funds are obligated by this AGREEMENT, PROJECT SPONSOR shall also fully defend, protect, indemnify and hold harmless BAAQMD, its governing body, and all its officers, employees, agents, representatives, and successors-in-interest, from any liability imposed on BAAQMD for injury (as defined in Government Code Section 810.8) occurring by reason of anything done or omitted to be done by PROJECT SPONSOR in connection with PROJECT.

23. Neither PROJECT SPONSOR, nor its governing body or any officer, consultant, or employee thereof shall be responsible for any damage or liability occurring by reason of anything done or omitted to be done by ALAMEDA CTC under or in connection with any work, authority or jurisdiction delegated to ALAMEDA CTC under this AGREEMENT. It is also understood and agreed, pursuant to Government Code Section 895.4, ALAMEDA CTC shall fully defend, protect, indemnify and hold harmless PROJECT SPONSOR, its governing body, and all its officers, employees, agents, representatives, and successors-in-interest, against any and all liability, loss, expense, including reasonable attorneys' fees, or claims for injury (as defined in Government Code Section 810.8) or damages occurring by reason of anything done or omitted to be done by ALAMEDA CTC in connection with PROJECT, including the performance of the PROJECT or operation or use of the equipment that is subject to this AGREEMENT.

24. Nothing in the provisions of this AGREEMENT is intended to create duties or obligations to or rights in third parties not party to this AGREEMENT. This AGREEMENT gives no rights or benefits to anyone other than ALAMEDA CTC and PROJECT SPONSOR and has no third-party beneficiaries.

25. All legal actions by either party against the other arising from this AGREEMENT, or for the failure to perform in accordance with the applicable standard of care, or for any other cause of action, will be subject to the statutes of limitations of the State of California.

26. Should it become necessary to enforce the terms of this AGREEMENT, the prevailing party shall be entitled to recover reasonable expenses and attorney's fees from the other party.

27. This AGREEMENT may not be assigned, transferred, hypothecated or pledged by any party without the express written consent of the other party, except as set forth in this AGREEMENT. This AGREEMENT shall be binding upon any successors or assigns of the parties hereto.

28. This AGREEMENT shall terminate upon completion and acceptance of PROJECT, or phase of the PROJECT included in this AGREEMENT or on October 31, 2018 whichever is earlier in time, unless this AGREEMENT is extended by mutual agreement of the parties. Notwithstanding the foregoing, Sections III.22 and Section III.23 shall survive the termination or expiration of this AGREEMENT.

29. This AGREEMENT, including its Recitals and Appendices, constitutes the entire AGREEMENT. This AGREEMENT may be changed only as allowed in Sections III.1 and Section III.2 of this AGREEMENT or by a written amendment executed by both parties.

[Signatures on the next page]

**CITY OF SAN LEANDRO
(PROJECT SPONSOR)**

**ALAMEDA COUNTY TRANSPORTATION
COMMISSION (ALAMEDA CTC)**

By: _____
Chris Zapata Date
City Manager

By: _____
Arthur L. Dao Date
Executive Director

Recommended

By: _____
David Baum Date
Finance Director

By: _____
Vivek Bhat Date
Director of
Programming and Project Controls

Reviewed as to Budget/Financial Controls:

By: _____
Keith Cooke Date
Engineering and Transportation Director

By: _____
Patricia Reavey Date
Deputy Executive Director of
Finance and Administration

Approved as to Legal Form:

Approved as to Legal Form:

By: _____
Richard D. Pio Roda Date
Legal Counsel to PROJECT SPONSOR

By: _____
Wendel, Rosen, Black & Dean LLP Date
Legal Counsel to ALAMEDA CTC

Attest:

By: _____
Tamika Greenwood Date
City Clerk

LIST OF APPENDICES

APPENDIX A: PROJECT CONTROL INFORMATION

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Appendix A-2	Project Phase Descriptions
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APPENDIX B: ALAMEDA CTC ADMINISTERED FUNDS OBLIGATED BY THIS AGREEMENT

APPENDIX C: ALAMEDA CTC REQUEST FOR REIMBURSEMENT AND REPORTING REQUIREMENTS

Appendix C-1	Request for Reimbursements and Progress Reporting Frequency Requirements
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APPENDIX D: ALAMEDA CTC FUNDING SHIFT APPROVAL FORM

APPENDIX E: LOCAL BUSINESS CONTRACT EQUITY PROGRAM

APPENDIX F: TASK DELIVERABLES AND DUE DATES

APPENDIX G: PROJECT PERFORMANCE MEASURES

APPENDIX H: TRANSPORTATION FUND FOR CLEAN AIR POLICIES AND REQUIREMENTS

Appendix H-1	BAAQMD-approved TFCA County Program Manager Fund Policies
Appendix H-2	TFCA Project Number and “Years of Effectiveness”
Appendix H-3	Minimum required insurance for TFCA-funded projects

Note: Appendix H is only included and attached to this AGREEMENT if TFCA funds are obligated and applicable to AGREEMENT.

APPENDIX A

PROJECT CONTROL INFORMATION

Appendix Index

Appendix A-1	Project Description
Appendix A-2	Project Phase Descriptions
Appendix A-3	Project Milestone Schedule
Appendix A-4	Project Responsibility Checklist
Appendix A-5	Project Funding Summary by Phase and Fund Source
Appendix A-6	Project Phase Cost Detail and Special Considerations
Appendix A-7	Permits/Agreements/Coordinating Agencies

APPENDIX A-1

PROJECT DESCRIPTION INCLUDING MAP

Project Title: San Leandro Street Rehabilitation

Project Description:

The San Leandro Rehabilitation project will repair and/or replace pavement on existing streets within the city. Work may include repair or replacement of road base, adjustment of utility lines and structures, replacement of striping, and installation of ADA complaint curb ramps.

Per this AGREEMENT, the San Leandro Street Rehabilitation Project will receive \$3.0 million of the \$30.0 million committed to the project from the 2014 Transportation Expenditure Plan (Measure BB). Future amendments to this agreement will encumber the remaining commitment to the project.

APPENDIX A-2
PROJECT PHASE DESCRIPTIONS

Phase 1: Scoping/Planning

- Evaluate system of streets and determine type and amount of street repair work that will maximize the benefit received from the project budget. In general, the most beneficial use of the budget will maximize the average street pavement condition index of the street system.
- Examine street segments that are candidates for each type of repair work determined above and select the most suitable.

Phase 2: Preliminary Engineering/Environmental Studies

- Refine scope of work: evaluate green infrastructure and complete streets elements, as well as ADA requirements.
- Determine consultants needed (if any) and obtain contracts for those services.
- Prepare CEQA documentation.
- Prepare topographic survey, investigate as built conditions, obtain existing utility information.
- Prepare 30% plans, specifications, and estimate showing extent and character of the work with conceptual solutions for unusual conditions.
- Determine permit needs.

Phase 3: Final Design (PS&E)

- Prepare 70% plans, specifications, and estimate.
- Obtain permits (if needed).
- Prepare final plans and specifications setting forth the work to be performed in sufficient detail to allow construction.
- Prepare an engineer's estimate of project costs including construction, design, inspection, construction management, and all other items needed for a complete project.

Phase 4: Utility Relocation/Protection

Manage and inspect utility relocation work done by utility companies (if any).

Phase 5: Construction

- Bid and award contracts for the work including responding to bidders' questions and issuance of clarifications as needed.
- Construct the work including construction management and inspection.

APPENDIX A-3
PROJECT MILESTONE SCHEDULE

Phase/Milestone	Begin (Mo/Yr)	End (Mo/Yr)
Scoping	7/16	10/16
Preliminary Engineering/Environmental Studies	10/16	3/17
CEQA Approval	N/A	12/16
NEPA Approval	N/A	N/A
Final Design (Plans, Specifications and Estimate (PS&E))	3/17	6/17
Right-of-Way Acquisition	N/A	N/A
Right of Way Certification	N/A	N/A
Construction	7/17	4/18
Operations	N/A	N/A
Notes: There is no Federal funding on the project that would result in seeking NEPA Approval. All work is contained within the existing City right-of -way and does not require Right-of-Way Acquisition.		

APPENDIX A-4
PROJECT RESPONSIBILITY CHECKLIST

No.	PROJECT ACTIVITY	ALAMEDA CTC	SPONSOR
1.	Funding Agency	S/C	
2.	Lead Project Sponsor		S
3.	Close-out Contract		S

LEGEND:

C = contractor/consultant

S = staff

S/C = staff and contractor/consultant

APPENDIX A-5
PROJECT FUNDING SUMMARY BY PHASE AND FUND SOURCE

PROJECT FUNDING SUMMARY BY PHASE AND FUND SOURCE					
	Alameda CTC Administered Funds	Other	Total	Reimbursement Ratio	
PHASE	2014 Measure BB	Other Funds	Total Funding	Reimbursement Ratio Percentage ^{1.}	Maximum Retention Amount ^{2.}
Scoping	\$ -	\$ 55,000	\$ 55,000	N/A	N/A
Preliminary Engineering/ Environmental Studies	\$ -	\$ 155,000	\$ 155,000	N/A	
Final Design (PS&E)	\$ -	\$ 200,000	\$ 200,000	N/A	
Right-of-Way Support	\$ -	\$ 5,000	\$ 5,000	N/A	
Construction Capital	\$ 3,000,000	\$ 1,700,000	\$ 4,700,000	63.83%	
Construction Support	\$ -	\$ 850,000	\$ 850,000	N/A	
Total Funding	\$ 3,000,000	\$ 2,965,000	\$ 5,965,000	N/A	N/A

Notes:

1. Alameda CTC shall be reimbursed eligible costs in the percentage of Total ALAMEDA CTC ADMINISTERED FUNDS to Total Funding per the Reimbursement Ratio Percentage for each phase. Each Alameda CTC Administered Fund amount identified is a not-to-exceed amount.
2. Retention does not apply.

**APPENDIX A-6
 PROJECT PHASE COST DETAIL**

This Project Phase Cost Detail summarizes the total cost for each phase with ALAMEDA CTC ADMINISTERED FUNDS obligated in this AGREEMENT.

PROJECT PHASE COST DETAIL			
CONSTRUCTION CAPITAL	2014 Measure BB	Local Match	Total Cost
SPONSOR STAFF COSTS			
Sponsor Staff Time	\$	\$	\$
Sponsor Direct Costs	\$	\$	\$
Sub-total Sponsor Staff Cost	\$	\$	\$
CONTRACT COST <i>(one line per expected contract)</i>			
Contract Cost	\$ 3,000,000	\$ 1,700,000	\$ 4,700,000
Sub-total Contract Cost	\$ 3,000,000	\$ 1,700,000	\$ 4,700,000
Total Phase Cost <i>(Staff + Contract Costs)</i>	\$ 3,000,000	\$ 1,700,000	\$ 4,700,000
<p>Special Considerations <i>related to funding the breakdown for the phase, e.g. 100% one fund source, certain funds to be expended before others, etc.</i></p> <p>1. Per this AGREEMENT, the San Leandro Street Rehabilitation Project will receive \$3.0 million of the \$30.0 million committed to the project from the 2014 Transportation Expenditure Plan (Measure BB). Future amendments to this agreement will encumber the remaining commitment to the project.</p>			

APPENDIX A-7

PERMITS/AGREEMENTS/COORDINATING AGENCIES

The project will be managed by the City of San Leandro and no inter-agency arrangements are necessary for this project. City staff will either design the improvements or manage a consultant who designs the improvements. There are no right of way needs associated with this work; however, temporary easements may be required to construct ADA ramps. The work will be constructed by outside contractors selected through a public and competitive bid or bids. Bidding and construction will be managed by City staff, inspection of the work will also be done by City staff. Special inspection or testing, if needed, will be done by a consultant.

APPENDIX B

ALAMEDA CTC ADMINISTERED FUNDS OBLIGATED BY THIS AGREEMENT

The following table identifies the ALAMEDA CTC ADMINISTERED FUNDS obligated by this AGREEMENT and establishes a unique allowable start date for each obligated fund source.

TABLE B-1 FUNDS OBLIGATED BY THIS AGREEMENT AND ALLOWABLE START AND EXPENDITURE DEADLINE DATES						
No.	Fund Source	Phase	Commission Approval Date	Allowable Start Date	Expenditure Deadline Date	Obligated Amount
1	2014 MBB	Construction Capital	July 28, 2016	July 1, 2016	June 30, 2018	\$3,000,000
Total Alameda CTC Administered Funds Obligated by AGREEMENT:						\$3,000,000

Notes:

1. The allowable start date identified for each fund source is the earliest date to incur eligible reimbursable costs.
2. The allowable start date identified for a fund source remains effective throughout the term of this AGREEMENT unless specifically amended through AGREEMENT.
3. The Commission Approval Date is the date of the Commission Meeting that authorized and approved the allocation.
4. ALAMEDA CTC reimbursement amounts by fund source for PROJECT shall not exceed the total amount obligated by this AGREEMENT, by fund source.
5. The deadline to incur eligible PROJECT expenditures under this AGREEMENT is identified in in the above table. Any costs incurred on the PROJECT after this date will not be eligible for reimbursement under this AGREEMENT.
6. For TFCA funds obligated by this agreement the identified Expenditure Deadline Date in the above table is two (2) years from the date of the first receipt of funds by the ALAMEDA CTC from BAAQMD, unless an extended deadline is approved at the time of programming or an extension has been approved by the ALAMEDA CTC. Extended deadlines will be reflected in the above table.
7. For Measure B, Measure BB and VRF funds, unless an Expenditure Deadline Date is identified in the above table, the overall AGREEMENT expiration date shall serve as the funding Expenditure Deadline Date.

APPENDIX B (CONT.)

Reimbursements of ALAMEDA CTC ADMINISTERED FUNDS obligated by this AGREEMENT shall be in accordance with the Drawdown Limitation Schedule below.

TABLE B-2 ALAMEDA CTC ADMINISTERED FUNDS REIMBURSEMENT - DRAWDOWN LIMITATION SCHEDULE					
No.	Fiscal Year	Quarter	Fund Source	Quarterly Drawdown Amount	Cumulative Drawdown Limitation Amount
1	FY 16/17	Q3	2014 MBB	\$1,500,000	\$1,500,000
2	FY 16/17	Q4	2014 MBB	\$1,500,000	\$3,000,000

Notes:

1. Fiscal Year (FY) begins July 1 and ends June 30.
2. Quarter 1 begins July 1 and ends September 30; Quarter 2 begins October 1 and ends December 31; Quarter 3 begins January 1 and ends March 31; and Quarter 4 begins April 1 and ends June 30.
3. ALAMEDA CTC shall endeavor to transmit payments to PROJECT SPONSOR following a receipt and approval of a Request for Reimbursement(s) by the PROJECT SPONSOR.
4. Actual reimbursements from ALAMEDA CTC to the PROJECT SPONSOR shall not exceed the Cumulative Drawdown Limitations Amount without Alameda CTC's written approval. Any portion of a reimbursement request, which exceeds the applicable Cumulative Drawdown Limitation without such prior written approval by ALAMEDA CTC shall be deferred until the following quarter.

APPENDIX C

REQUEST FOR REIMBURSEMENTS AND REPORTING REQUIREMENTS

Appendix Index

Appendix C-1	Request for Reimbursements and Progress Reporting Frequency Requirements
Appendix C-2	Sample Alameda CTC Request For Reimbursement Instructions and Forms
Appendix C-3	Sample Alameda CTC Progress Report Format
Appendix C-4	Sample Alameda CTC Final Report Format

APPENDIX C-1

REQUEST FOR REIMBURSEMENTS AND PROGRESS REPORTING FREQUENCY REQUIREMENTS

The table below establishes the required minimum frequency for Request for Reimbursements and Progress Reports as required below (indicated with an X) below.

REQUEST FOR REIMBURSEMENT AND PROGRESS REPORTING FREQUENCY AND DUE DATES			
Frequency Required <i>(as checked)</i>	Minimum Frequency	Period Covered	Due Dates
<input type="checkbox"/>	Monthly <i>(once every month)</i>	One month	By 30 days of end of billed activity
<input type="checkbox"/>	Quarterly <i>(once every 3 months)</i>	Quarter 1: 7/1– 9/30 Quarter 2: 10/1 – 12/31 Quarter 3: 1/1– 3/31 Quarter 4: 4/1– 6/30	By October 31st By January 31 st By April 30 th By July 31 st
<input checked="" type="checkbox"/>	Semi-annually <i>(once every 6 months)</i>	Quarters 1 & 2: 7/1 – 12/31 Quarters 3 & 4: 1/1 – 6/30	By January 31 st By July 31 st

Notes:

1. For all projects, the maximum frequency for Request for Reimbursements are one (1) per month.
2. If no costs were incurred during the reporting period, a progress report for the corresponding period is still required.

APPENDIX C-3

SAMPLE ALAMEDA CTC PROGRESS REPORT FORMAT

ALAMEDA CTC ADMINISTERED FUNDS
PROJECT PROGRESS REPORT

PROJECT TITLE:	
PROJECT SPONSOR:	
PROJECT NUMBER:	
REPORTING PERIOD: <small>(MM/DD/YYYY to MM/DD/YYYY)</small>	

1. CURRENT STATUS

No current issues with the project. Describe general project status below.

-

We anticipated issues but do not need Alameda CTC's assistance at this time. Describe below.

-

We anticipate issues and may require Alameda CTC's assistance. Describe below.

-

2. ACTIONS (in this reporting period)

1.

3. ANTICIPATED ACTIONS (in this reporting period)

2.

Project Progress Report Page 1 of 4

4. AMENDMENTS TO SCOPE, COST, SCHEDULE, PERFORMANCE MEASURES

No changes or amendments required to the funding agreement.

Changes are required to the following:

- Scope
- Budget / Cost
- Schedule
- Performance Measures

Amendment Request previously submitted or attached.

For any areas marked as requiring changes, include an Amendment Request for review and approval. For scope changes, include contract, change orders (CCO's) over \$20,000 on construction and professional service contracts.

5. POTENTIAL CLAIMS

There are no Notices of Potential Claim on file.

We anticipate a claim regarding the following:

- 1.
- 2.

There are presently [Enter Number] Notice(s) of Potential Claim on file. Those not previously forwarded are attached for review and comment.

6. EXPENDITURES AND REIMBURSEMENTS

No.	Status <small>(Approved/ Pending Payment)</small>	Period <small>(MM/YY to MM/YY)</small>	Alameda CTC Administered Funds	Other Matching Funds	TOTAL
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
TOTAL			\$	\$	\$

Project Progress Report Page 2 of 4

7. PUBLICITY REQUIREMENTS

Project information is currently available online, at the following web address: [Enter link].

Project signage is posted as required by the funding agreement.

Project information is published at least annually highlighting the project, as listed below.

Date of Publication	Name of Publication

Exhibits to document the completion of these requirements are attached to this progress report i.e. screenshots, copies of articles, photos of signage.

If any of the items were not completed, explain below:

8. PERFORMANCE MEASURES PROGRESS REPORTING

Project Performance Measures are completed in the Table 1 below.

Project Performance Measures Progress Report is not included / completed because no Performance Measures Targets are associated with this project.

No.	Performance Measure	Target	Progress/Activity to date	Progress/Activity this Period
1				
2				
3				
4				
5				
6				

1. List all performance measures and targets included in funding agreement for Project.

Project Progress Report Page 3 of 4

9. ANTICIPATED CASH FLOW OF ALAMEDA CTC ADMINISTERED FUNDS

Indicate the projected accrual and cash flow demand forecast of Alameda CTC Administered Funds i.e. project, the fiscal year of expenditures for funds to be used to be project by Alameda CTC. Fiscal Year is defined as July 1 to June 30. Note any special considerations re: timing to the cash flow schedule.

FUND SOURCE	PHASE	PRIOR	FY 18/17	FY 17/18	FY 18/19	FY 19/20	TOTAL
		\$	\$	\$	\$	\$	\$
		\$	\$	\$	\$	\$	\$
		\$	\$	\$	\$	\$	\$
		\$	\$	\$	\$	\$	\$
		\$	\$	\$	\$	\$	\$
		\$	\$	\$	\$	\$	\$
		\$	\$	\$	\$	\$	\$
		\$	\$	\$	\$	\$	\$
		\$	\$	\$	\$	\$	\$
Total Cash Flow Demand		\$	\$	\$	\$	\$	\$

Notes and Considerations:
2.

Project Progress Report Page 4 of 4

APPENDIX C-4

SAMPLE ALAMEDA CTC FINAL REPORT FORMAT

ALAMEDA CTC ADMINISTERED FUNDS
FINAL REPORT
FOR THE [INSERT PROJECT TITLE]
FOR [INSERT PHASE(S)]

PROJECT TITLE:	
PROJECT SPONSOR:	
PROJECT NUMBER:	
REPORTING PERIOD: <small>(MM/YY to MM/YY)</small>	

1. PROJECT DESCRIPTION

Provide a brief description of services provided, improvements constructed, and/or implemented in accordance with the funding agreement.

2. SUMMARY OF PROJECT DELIVERY MILESTONES

Provide a brief description of actions taken and milestones reached to deliver the project.

Final Report Page 1 of 4

3. SUMMARY OF PROJECT BENEFITS

Provide a brief description of project benefits and outcomes.

4. FINAL PERFORMANCE MEASURES REPORTING

Table 1 - Performance Measures Report

No.	Performance Measure	Target	Cumulative Performance	Performance Measure and Targets Achieved? If not, provide explanation.
1				
2				
3				
4				
5				
6				

List all performance measures included in funding agreement for Project.
 This table is not applicable. No Performance Measures or Targets identified in the funding agreement. Project benefits and outcomes are described earlier in this report.

Final Report Page 2 of 4

5. PUBLICITY REQUIREMENTS

Project information was available online, at the following web address: [Enter link].

Project signage was posted as required by the funding agreement.

Project information was published annually highlight the project, as listed below.

Date of Publication	Name of Publication

The above is documented via attachments to the previous progress reports. Additional documentation, if applicable, is also attached for any previously not submitted publicity documentation i.e. screenshots, photographs, copies of articles, etc.

6. EXPENDITURES AND REIMBURSEMENTS

No.	Status <small>(Approved/ Pending Payment)</small>	Period <small>(MM/YY to MM/YY)</small>	Alameda CTC Administered Funds	Other Matching Funds	TOTAL
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
		TOTAL	\$	\$	\$

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7. EXPENDITURE SUMMARY

Summarize the total project expenditure by phase. List the Alameda CTC Administered Funds by fund source, and by phase.

PHASE:	FINAL EXPENDITURE SUMMARY <small>(\$'s - 0,000)</small>						Other Funds	Total Expenditures
	Alameda CTC Administered Funds					TOTAL		
	2000 Measure B	Source	Source	Source	Source			
Site prep	\$	\$	\$	\$	\$	\$	\$	
Foundation/Excavation/Retaining Walls	\$	\$	\$	\$	\$	\$	\$	
Final Design/PS&E	\$	\$	\$	\$	\$	\$	\$	
Right-of-Way/Conduit	\$	\$	\$	\$	\$	\$	\$	
Construction Capital	\$	\$	\$	\$	\$	\$	\$	
Construction Support	\$	\$	\$	\$	\$	\$	\$	
Construction	\$	\$	\$	\$	\$	\$	\$	
Other (see schedule details)	\$	\$	\$	\$	\$	\$	\$	
Total Expenditures	\$	\$	\$	\$	\$	\$	\$	

8. PROJECT CLOSE OUT

If this project contains no future phases with Alameda CTC Administered Funds, the Project Sponsor agrees to disburse the remaining balance of Alameda CTC Administered Funds the project as obligated within the Project Funding Agreement. This document is the project and serves as the final report for the project.

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APPENDIX D

ALAMEDA CTC FUNDING SHIFT APPROVAL FORM

ALAMEDA CTC FUNDING SHIFT APPROVAL FORM

ADMINISTRATIVE AMENDMENT No. [X]

Per the Section III.I of the AGREEMENT - Obligations of ALAMEDA CTC ADMINISTERED FUNDS authorized by this AGREEMENT may be shifted between the phases of the PROJECT covered by this AGREEMENT, or between activities in the same phase of the PROJECT. This includes shift funding obligations between PHASES, fiscal years and/or obligations between "Contracts" and "Sponsor Staff" costs.

The PROJECT SPONSOR must: (A) Obtain ALAMEDA CTC written approval of in advance through completion and submittal to ALAMEDA CTC of this form: ALAMEDA CTC Funding Shift Approval Form; and (B) Provide a revised and updated Appendix A – Project Control Information to the AGREEMENT which reflects the requested shift of the funding obligations authorized by this AGREEMENT and demonstrates that the phase or activity from which the funds were shifted remains fully funded.

The purpose of this Amendment No. [Insert No.] is to document the PROJECT SPONSOR's request and Alameda CTC's approval of the shift of ALAMEDA CTC ADMINISTERED FUNDS obligations as reflected in the table(s) below and in the revised Appendix A – Project Control Information and Appendix B: ALAMEDA CTC ADMINISTERED FUNDS Obligated by this AGREEMENT.

Sponsor:	
Project No.:	
Agreement No.:	
Project Description:	
Date Executed:	
PHASE(S):	

Attached are Revisions to tables in

Appendix A: Project Control Information

Appendix B: ALAMEDA CTC ADMINISTERED FUNDS Obligated by this AGREEMENT

SPONSOR

ALAMEDA CTC

Requested by: _____ Approved by: _____

Authorized Signature: _____ Authorized Signature: _____

Date: _____ Date: _____

APPENDIX E

LOCAL BUSINESS CONTRACT EQUITY PROGRAM

The Local Business Contract Equity Program encourages business to locate and remain in Alameda County, to employ residents of Alameda County and to spend Measure B/BB funds for goods and services with local Alameda County businesses. The LBCE Program benefits Local Business Enterprise (LBE), Small Local Business Enterprise (SLBE) and Very Small Local Business Enterprise (VSLBE) businesses. This program applies to contracts funded solely by Measure B/BB funds or a combination of Measure/BB, and local funds. Contracts that include state and federal funds must adhere to state and federal requirements.

The Project Sponsor shall abide by the current Alameda CTC Local Business Contract Equity program requirements referenced here: http://www.alamedactc.org/app_pages/view/4543

APPENDIX F

TASK DELIVERABLES AND DUE DATES

Project Task Deliverables and Due Dates: The Task Deliverables and Due Dates table below shows deliverables and due dates, if any, for the phases listed in Appendix A-2 Project Phase Descriptions that contain ALAMEDA CTC ADMINISTERED FUNDS.

Task Deliverables and Due Dates			
Task Deliverable	Phase	Deliverable	Deliverable Due Date to Alameda CTC
1	CON	Progress Report No. 1, July – December 2016	January 31, 2017
2	CON	Progress Report No. 2, January – June 2017	July 31, 2017
3	CON	List of Streets Improvements funded by Measure BB funds	July 31, 2017

APPENDIX G

PROJECT PERFORMANCE MEASURES

Project Performance Measures: The Project Performance Measures and Targets describes what outcome-based performance measure(s) the PROJECT SPONSOR plans to evaluate to ensure that the project/program is meeting its objectives.

Project Performance Measures and Targets
<p>The street infrastructure improvements funded by this AGREEMENT are included in the rehabilitation of existing streets within the City of San Leandro. The improvements include repairing and/or replacement of pavement on existing streets, replacement of road striping, installation of ADA complaint curb ramps, and replacement of road base.</p> <p>Street rehabilitation results in improvements to transportation systems that promote efficiency in the movement of goods and services, reduce vehicle maintenance costs, and improve public safety.</p>

Note:

1. Improvements are expected to achieve, as best possible, the performance measures targets and/or project outcomes as established herein.

APPENDIX H

TRANSPORTATION FUND FOR CLEAN AIR POLICIES AND REQUIREMENTS

Note: The entire Appendix H is only included and attached to this AGREEMENT if TFCA funds are obligated and applicable to AGREEMENT.